

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
K.F. Day III et al.	)	
Serial No.:	)	
Not Yet Assigned	)	
Filed:	)	Art Unit: Not Yet Assigned
April 12, 2004	)	
For:	)	
METHOD, SYSTEM, AND	)	
PROGRAM FOR PREFETCHING	)	
DATA INTO CACHE	)	
	)	
	)	
	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
  - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
  - 3) before the mailing date of a first Office Action on the merits; or
  - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
  - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
  - 2) a fee of \$180 as set forth in 1.17(p).

**STATEMENT UNDER 1.97(e)**

I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0449. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

David W. Victor  
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Dated: April 12, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date listed below. Exp. Mail # EV437834255US

David W. Victor

4/12/04  
(Date)

Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	K.F. Day III et al.
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Page	1	of	1	Attorney Docket Number	TUC920030193US1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
		US-5379391	01-03-1995	Belsan et al.	
		US-5426761	06-20-1995	Cord et al.	
		US-5627990	05-06-1997	Cord et al.	
		US-5892921	04-06-1999	Murakami et al.	
		US-6230200 B1	05-08-2001	Forecast et al.	
		US-6260115 B1	07-10-2001	Permut et al.	
		US-6345338 B1	02-05-2002	Milillo et al.	
		US-6438661 B1	08-20-2002	Beardsley et al.	
		US-6449697 B1	09-10-2002	Beardsley et al.	
		US-6470421 B1	10-22-2002	Bui et al.	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		Daniel A. Connors, et al., "An Architecture Framework for Introducing Predicated Execution into Embedded Microprocessors", Dept. of Electrical and Computer Eng. and Logic Systems Laboratory, pp. 1-10	
		Robert C. Elliot, "Information Technology - SCSI Block Commands - 2 (SBC-2)", working draft American National Standard, Project T10/1417-D, Revision 12, 25 January 2004, reference number ISO/IEC 14776-322:200x ANSI INCITS.***:200x, pp. i-iv, 46-48	
		IBM Corporation, "PowerPC 601 Microprocessor", White paper, [online] [Retrieved on February 27, 2004], retrieved from the Internet at <URL: <a href="http://www-1.ibm.com/servers/eserver/pseries/hardware/whitepapers/power/ppc_601.html">http://www-1.ibm.com/servers/eserver/pseries/hardware/whitepapers/power/ppc_601.html</a>	

Examiner Signature		Date Considered	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			